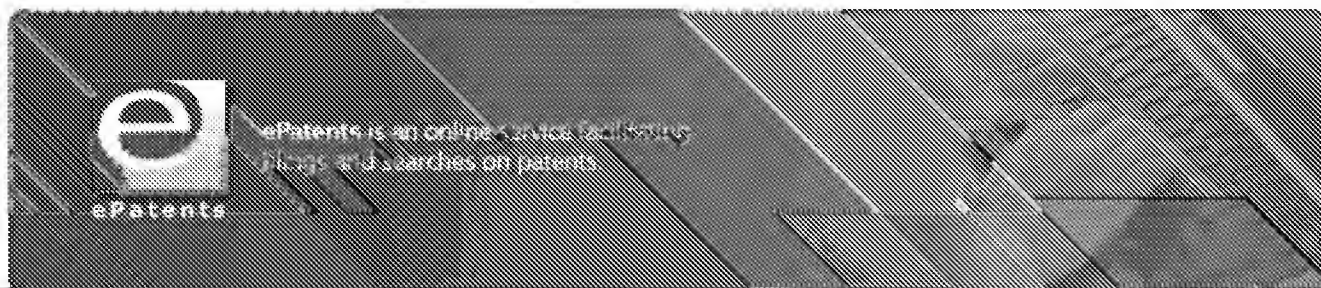


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[Home](#) > [Patent Search](#) > Basic Information

## Patents

### BASIC INFORMATION

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<b>Publication Number:</b>	131803		
<b>Title of Invention:</b>	FABRICATION OF TRANSISTORS		
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<b>International Patent Classification:</b>	H01L 27/082, H01L 21/02, H01L 29/737		
<b>Patent Agent:</b>	LLOYD WISE		
<b>Date of grant of patent:</b>	31/Dec/2008		

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## **Fabrication of Transistors**

### **Field of the Invention**

This invention relates to the fabrication of transistors and refers particularly, though not exclusively, to the fabrication of gallium nitride high electron mobility transistors ("HEMT") and to transistors so fabricated.

### **Background of the Invention**

HEMT devices have been proposed for a few years. They are capable of high power with over 100W/chip being possible; high frequency – 1 to 40GHz being possible; and can operate at temperatures of over 600°C. This generates a lot of heat so heat dissipation becomes important as not all devices can withstand such temperatures, and the HEMT device may be used with many other devices.

### **Summary of the Invention**

In accordance with a first preferred aspect there is provided a method for fabricating transistors, each transistor comprising a plurality of epitaxial layers on a substrate, method comprising:

forming a plurality of source contacts on a first surface of the plurality of epitaxial layers;

forming at least one drain contact on the first surface;



forming at least one gate contact on the first surface;  
forming at least one insulating layer over and between the gate contact, source contacts and drain contact to insulate the gate contact, source contacts and the drain contact;  
forming a conductive layer over and through at least a part of the at least one insulating layer for connecting the source contacts;  
and  
forming at least one heat sink layer over the conductive layer.

According to a second preferred aspect there is provided an apparatus comprising transistors, each transistor comprising:

- a plurality of epitaxial layers having a first surface;
  - a plurality source contacts, at least one drain contact, and at least one gate contact, all on the first surface;
  - at least one insulating layer over and between the gate contact, source contacts and drain contact for insulating the gate contact, source contacts and the drain contact;
  - a conductive layer over and through at least a part of the at least one insulating layer for connecting the source contacts; and
  - at least one heat sink layer over the conductive layer.

The transistors may be high electron mobility transistors. The plurality of epitaxial layers may comprise a layer of gallium nitride, a layer of aluminium gallium nitride, a layer of n+ aluminium gallium nitride and a final layer of gallium nitride. The first surface may be on the final layer of gallium nitride. The conductive layer may connect the plurality of source contacts through vias in the at least one insulating layer. The at least one insulating layer may be heat

conductive and electrically insulating.

A relatively thick layer of a heat conductive metal may be formed over the conductive layer. At least one seed layer may be formed on the conductive layer before the relatively thick layer is formed.

The drain, gate and source connections may be formed by creating then filling vias through the substrate and the epitaxial layers to the drain contact, gate contact and the conductive layer respectively.

Alternatively, the substrate may be removed and the drain, gate and source connections formed by creating then filling vias through the epitaxial layers to the drain contact, gate contact and conductive layer respectively. In this case, a further layer of heat conductive but electrically insulating material may be applied in place of the substrate.

### **Brief Description of the Drawings**

In order that the present invention may be fully understood and readily put into practical effect, there shall now be described by way of non-limitative example only preferred embodiments of the present invention, the description being with reference to the accompanying illustrative drawings.

In the drawings:

Figure 1 is a schematic illustration of a device at a first stage of the fabrication process;

Figure 2 is a schematic illustration of the device at a second stage of the

fabrication process;

Figure 3 is a schematic illustration of the device at a third stage of the fabrication process;

Figure 4 is a schematic illustration of the device at a fourth stage of the fabrication process;

Figure 5 is a schematic illustration of the device at a fifth stage of the fabrication process;

Figure 6 is a schematic illustration of the device at a sixth stage of the fabrication process;

Figure 7 is a schematic illustration of the device at a seventh stage of the fabrication process;

Figure 8 is a schematic illustration of the device at an eighth stage of the fabrication process;

Figure 9 is a schematic illustration of the device at a ninth stage of the fabrication process;

Figure 10 is a schematic illustration of the device at a tenth stage of the fabrication process;

Figure 11 is a schematic illustration of the device at an eleventh stage of the fabrication process;

Figure 12 is a schematic illustration of the device at a twelfth stage of the fabrication process;

Figure 13 is a schematic illustration of the device at a thirteenth stage of the fabrication process;

Figure 14 is a full cross-sectional view along the lines and in the direction of arrows 14 – 14 on Figure 13;

Figure 15 is a schematic illustration of the device at a fourteenth stage of the fabrication process;

Figure 16 a full cross-sectional view along the lines and in the direction of arrows 16 – 16 on Figure 15;

Figure 17 is a schematic illustration of the device at a fifteenth stage of the fabrication process;

Figure 18 is a schematic illustration of the device at a sixteenth stage of the fabrication process;

Figure 19 is a full cross sectional view along the lines and in the direction of arrows 19 – 19 on Figure 18;

Figure 20 is a schematic illustration of the device at a seventeenth stage of the fabrication process;

Figure 21 is a schematic illustration of the device at a final stage of the fabrication process; and

Figure 22 is a schematic illustration of the device at an alternative final stage of the fabrication process.

### **Detailed Description of the Preferred Embodiments**

Figure 1 shows the structure at the commencement of fabrication. A sapphire substrate 1 has a buffer layer 2 above it, and the epitaxial layers 3 are on the buffer layer 2. The epitaxial layers 3 comprise a layer 4 of GaN, a layer 5 of AlGa<sub>N</sub>, and n+ layer 6 of AlGa<sub>N</sub>, and a final GaN layer 7.

Source 8 and drain 9 contacts are then formed on the surface of the final GaN layer (Figure 2) there being a source 8 and a drain contact 9 for each transistor. Gate contacts 10 are then formed between each source contact 8 and each drain contact 9 (Figure 3). In this way when each gate 10 is activated current will flow from one source 8 to the two drains 9, one on each side of source

contact 8.

As shown in Figure 4, an electrically insulating layer such as a passivation layer 11 of, for example AlN, is then applied to electrically insulate the contacts 8, 9, 10 while being able to conduct heat. The layer 11 is preferably heat conductive. A resist is applied over passivation layer 11 (Figure 5) and vias 12 formed through passivation layer 11 down to the source contacts 8 and the resist removed. A further layer 13 of an electrically and heat conductive metal is applied over the passivation layer 11, the layer 13 also filling the vias 12. This connects the source contacts 8 (Figure 6). In this way, all contacts 8, 9 and 10 are in the one plane.

As shown in Figure 7, at least one further layer 14 is applied over the conductive metal layer 13 and the passivation layer 11 not covered by the conductive metal layer 13. The further layer 14 is a seed layer.

The seed layer 14 may be a number of layers – for example, three different metal layers. The first seed layer should adhere well to the conductive layer 13 and may be of chromium or titanium. It may be followed by second layer and third layer that may be of tantalum and copper respectively. Other materials may be used for all seed layers. The second seed layer may act as a diffusion barrier, preventing copper or other materials placed on top of it (such as, for example, the third seed layer) from diffusing into the epitaxial layers 3. The third seed layer acts as a seeding layer for subsequent electroplating.

As shown, there are two layers 15, 16 with the layer 15 acting as the diffusion barrier and the other layer 16 being the seeding layer.

The coefficients of thermal expansion of the seed layers may be different from that of GaN which is 3.17. While the thermal expansion coefficients of the contact layers 13 may be different from that of GaN (they are 14.2 and 13.4 respectively); they are relatively thin (a few nanometers) and do not pose serious stress problems to the underlining GaN epitaxial layers. However, plated copper to be added later may be as thick as hundreds of microns and thus may cause severe stress problems. Thus, the seed layers can be used to buffer the stress. This may be by one or more of:

- by having sufficient flexibility to absorb the stress,
- by having sufficient internal slip characteristics to absorb the stress,
- by having sufficient rigidity to withstand the stress, and
- by having graded thermal expansion coefficients.

In the case of graded thermal coefficients, that of the first layer preferably less than that of the second layer and that of the second layer is preferably less than that of the third layer and so forth. For example, as shown the first layer 15 may be tantalum with a coefficient of thermal expansion of 6.3, and the second layer 6 may be copper with a coefficient of thermal expansion of 16.5. In this way the coefficients of thermal expansion are graded from the passivation layer 13 and to the outer, copper layer 18. An alternative is to have coefficients of expansion that differ such that at the temperatures concerned, one metal layer expands while another contracts.

If the outer, copper layer 18 was applied directly to the contact layer 13 and passivation layer 11, the differences in their thermal expansion rates may cause cracking, separation, and/or failure. By depositing a plurality of seed layers of



different materials, particularly metals each having a different coefficient of thermal expansion, the stresses of thermal expansion are spread through the seed layers with the resultant lower likelihood of cracking, separation and/or failure. If there are intermediate layer(s), the intermediate layer(s) should have coefficient(s) of expansion between those of layers 15 and 16, and should be graded from that of the first layer 15 to that of the final layer 16. There may be no intermediate layer, or there may be any required or desired number of intermediate layers (one, two, three and so forth).

For patterned plating of a layer 18 of relatively thick metal such as copper that will serve as the new substrate and/or heat sink, a pattern of thick resists 17 is applied to the seed layer 15 by standard photolithography (Figure 8), and the remaining metal 18 is plated between and over the thick resists 17 (Figure 9) to form a single metal support layer 18.

The removal or lift-off of the sapphire substrate 1 then takes place (Figures 10 and 11) in accordance with known techniques such as, for example, that described in Kelly [M.K. Kelly, O. Ambacher, R. Dimitrov, R. Handschuh, and M. Stutzmann, *phys. stat. sol. (a)* 159, R3 (1997)]. The substrate 1 may also be removed by polishing or wet etching. This exposes the lowermost surface 19 of the GaN layer 4. It is preferred for lift-off of the substrate to take place while the epitaxial layers 3 are intact to improve the quality of removal, and for structural strength. By having the epitaxial layers 3 intact at the time of removal the electrical and mechanical properties of the epitaxial layers 3 are preserved.

After the removal of the original substrate 1, the thickly plated metal 18 is able to act as one or more of: the new mechanical support; and during operation of the

semiconductor device is able to act as one or more of: a heat sink, a heat dissipater, and a connecting layer. As the final GaN layer 7 is relatively thin, the heat generated in active layers 3 is more easily able to be conducted to the thick layer 18. Also, each of the layers 11, 13 and 14 are heat conductive.

The seed layer(s) 14 may be an electrical insulating layer but must be a good thermal conductor e.g. AlN.

The thick layer 18 creates a parasitic capacitance that slows the speed of operation. By increasing the distance between layer 18 and the epitaxial layers 3, the parasitic capacitance is decreased.

A resist layer is applied to the now-exposed surface 19 of the GaN layer 4 and etching takes place to form at least one via 20 through epitaxial layers 13 to the drain contact 9 (Figure 12). Via 20 is then filled (Figure 13) to form a drain connection 21. Figure 14 show a view of the drain connection 20, source contacts 8 and gate contacts 10.

A separate via 22 is formed (Figure 15) through the expitaxial layers 3 to the gate contact 10 and via 22 is filled to form a gate connection 23.

Figure 16 shows a view of the gate connection 23 as well as the drain connection 20, and source contact 8.

Figures 17 and 18 show a similar process for the source connection 8. A via 24 is formed through the expitaxial layers 3 to the source connector layer 13 and the via 24 filled to form the source connection 25.

Figure 19 shows a view of the source connection 25.

Etching then takes place (Figure 20) to form gaps 26 through the epitaxial layers 3, passivation layer 11 and conductive layer 13 until the ends of the thick resists 17 are exposed. The thick resists 17 are then removed for die separation.

This leaves the connections 20, 23 and 25 so the device can be electrically connected. Alternatively, and as shown in Figure 22, the process of Figures 17 and 18 may be avoided with die separation being as described above. Electrical connection for the source contact layer 13 will then be at either or both sides 26.

If desired, the substrate 1 may be left in place and holes drilled by, for examples, lasers to enable the connections 20, 23 and 25 to be formed. Alternatively, and as shown in Figure 21, a further layer 27 of a material that is a heat conductive but electronically insulating (e.g. AlN) may be added in place of substrate 1.

In this way the device HEMT device can be used with the relatively thick metal layer 18 acting as one or more of: a contact, heat sink, heat diffuser, and a physical support for the device. The combined effect of the passivation layer 11, the conductive layer 13, the seed layer 14 and the relatively thick layer 18 is that they are all conductive so they all combine to conduct heat away from the epitaxial layers 3, and for them to combine to be a heat sink.

Whilst there has been described in the foregoing description preferred embodiments of the present invention, it will be understood by those skilled in the technology concerned that many variations or modifications in details of

design or construction may be made without departing from the present invention.

## The Claims

1. A method for fabricating transistors, each transistor comprising a plurality of epitaxial layers on a common substrate, method comprising:  
forming a plurality of source contacts on a first surface of the plurality of epitaxial layers;  
forming at least one drain contact on the first surface;  
forming at least one gate contact on the first surface;  
forming at least one layer of insulating material over and between the gate contact, source contacts and the drain contact for insulating the gate contact, source contacts and the drain contact;  
forming a conductive layer over and through at least a part of the at least one insulating layer for connecting the source contacts;  
forming at least one seed layer on the conductive layer; and  
forming at least one heat sink layer over the seed layer.
2. The method as claimed in claim 1, wherein the transistors are high electron mobility transistors, the plurality of epitaxial layers comprising a layer of gallium nitride, a layer of aluminium gallium nitride, a layer of n+ aluminium gallium nitride and a final layer of gallium nitride, the first surface being on the final layer of gallium nitride; the at least one layer of insulating material being electrically insulating but heat conductive; the conductive layer connecting the plurality of source contacts through vias in the at least one insulating layer.
3. The method as claimed in claim 1 or claim 2, wherein the at least one heat sink layer is a relatively thick layer of conductive metal formed over the conductive layer.
4. The method as claimed in any of claims 1 to 3 wherein the seed layer comprises a plurality of seed layers, wherein a first of the plurality of seed layers is applied to the

conductive layer, the first seed layer being of a material that has a first co-efficient of thermal expansion; and a second seed layer is formed on the first seed layer, the second seed layer being of a material that has a second co-efficient of thermal expansion, the second co-efficient of thermal expansion being greater than the first co-efficient of thermal expansion.

5. The method as claimed in claim 4, wherein one of the first seed layer and the second seed layer is a diffusion barrier for providing a barrier to diffusion of a layer applied to it from diffusing into the epitaxial layers.

6. The method as claimed in claim 3, wherein the relatively thick layer of conductive metal is for at least one selected from the group consisting of: a structural support, a heat sink, a heat dissipater, and as a connector.

7. The method as claimed in any of claims 1 to 6, wherein a source connection is formed by creating then filling at least one via through the common substrate and the plurality of epitaxial layers to the conductive layer.

8. The method as claimed in any of claims 1 to 7, wherein a drain connection is formed by creating then filling at least one via through the common substrate and the plurality of epitaxial layers to the at least one drain contact.

9. The method as claimed in any of claims 1 to 8, wherein a gate connection is formed by creating then filling at least one via through the common substrate and the plurality of epitaxial layers to the at least one gate contact.

10. The method as claimed in any of claims 1 to 6 further comprising removing the substrate after the at least one heat sink layer is formed; and forming a further layer of

electrically insulating and heat conductive material in place of the substrate.

11. The method as claimed in claim 10, wherein a source connection is formed by forming then filling at least one via through the plurality of epitaxial layers to the conductive layer.

12. The method as claimed in claim 10 or claim 11, wherein a drain connection is formed by creating then filing at least one via through the plurality of epitaxial layers to the at least one drain contact.

13. The method as claimed in any of claims 10 to 12, wherein a gate connection is formed by creating then filling at least one via through the plurality of epitaxial layers to the at least one gate contact.

14. The method as claimed in any of claims 1 to 13, wherein patterned plating is performed before the at least one heat sink layer is formed.

15. Apparatus comprising transistors, each comprising:

- (a) a plurality of epitaxial layers having a first surface;
- (b) a plurality of source contacts, at least one drain contact and at least one gate contact, all on the first surface;
- (c) at least one insulating layer over and between the source contacts, the at least one drain contact and the at least one gate contact for insulating the gate contact, source contact and the drain contact;
- (d) a conductive layer over and through at least a part of the at least one insulating layer for connecting the source contacts;
- (f) at least one heat sink layer over the conductive layer; and

(g) at least one seed layer between the conductive layer and the at least one heat sink layer.

16. The apparatus as claimed in claim 15, wherein the at least one heat sink layer is over the conductive layer and the at least one insulating layer not covered by the conductive layer.

17. The apparatus as claimed in claim 16, wherein the at least one insulating layer is electrically insulating and heat conductive.

18. The apparatus as claimed in any of claims 15 to 17, wherein the plurality of epitaxial layers comprises a layer of gallium nitride, a layer of aluminum gallium nitride, a layer of n+ aluminum gallium nitride and a final layer of gallium nitride, the first surface being on the final layer of gallium nitride.

19. The apparatus as claimed in any of claims 15 to 18, wherein the conductive layer connects the plurality of source contacts through vias in the at least one insulating layer.

20. The apparatus as claimed in any of claims 16 to 19, wherein the at least one heat sink layer is a relatively thick layer of conductive metal over the conductive layer.

21. The apparatus as claimed in any of claims 15 to 20, wherein the seed layer comprises a plurality of seed layers, wherein a first of the plurality of seed layers is on the conductive layer, the first seed layer being of a material that has a first co-efficient of thermal expansion; and a second seed layer is on the first seed layer, the second seed layer being of a material that has a second co-efficient of thermal expansion, the second co-efficient of thermal expansion being greater than the first co-efficient of thermal expansion.



22. The apparatus as claimed in claim 21, wherein one of the first seed layer and the second seed layer is a diffusion barrier for providing a barrier to diffusion of a layer applied to it from diffusing into the epitaxial layers.

23. The apparatus as claimed in claim 20, wherein the relatively thick layer is for at least one selected from the group consisting of: a structural support, a heat sink, a heat dissipater, and a connector.

24. The apparatus as claimed in any of claims 15 to 23 further comprising a source connection through the common substrate and the plurality of epitaxial layers to the conductive layer.

25. The apparatus as claimed in any of claims 15 to 24 further comprising a drain connection through the common substrate and the plurality of epitaxial layers to the at least one drain contact.

26. The apparatus as claimed in any of claims 15 to 25 further comprising a gate connection through the common substrate and the plurality of epitaxial layers to the at least one gate contact.

27. The apparatus as claimed in any of claims 20 to 23 wherein the substrate is removed after the relatively thick layer of conductive metal is formed.

28. The apparatus as claimed in claim 27 further comprising a source connection through the plurality of epitaxial layers to the conductive layer.

29. The apparatus as claimed in claim 27 or claim 28 further comprising a drain

connection through the plurality of epitaxial layers to the at least one source contact.

30. The apparatus as claimed in any of claims 27 to 29 further comprising a gate connection through the plurality of epitaxial layers to the at least one gate contact.

31. The apparatus as claimed in any of claims 21 to 23, wherein the at least one heat sink layer comprises the relatively thick layer of conductive metal, the at least one seed layer, the conductive layer and the at least one insulating layer.

32. The apparatus as claimed in any of claims 15 to 31, wherein the transistors are high electron mobility transistors.

33. The apparatus as claimed in any of claims 27 to 30 further comprising a layer of electrically insulating and heat conductive material in place of the substrate.

## **ABSTRACT**

### **Fabrication of Transistors**

A method for fabricating transistors such as high electron mobility transistors, each transistor comprising a plurality of epitaxial layers on a common substrate, method comprising:

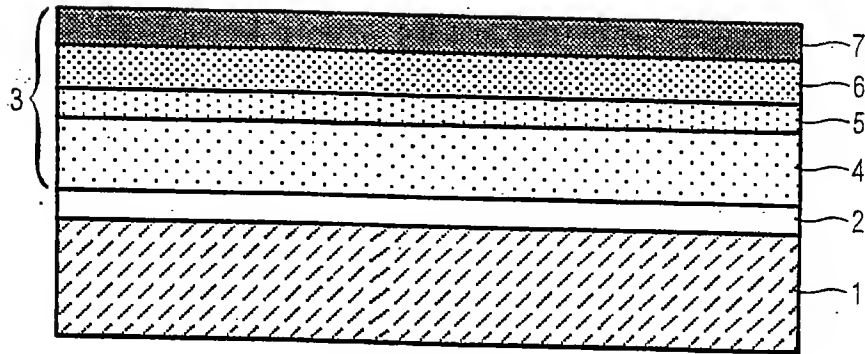
- (a) forming a plurality of source contacts on a first surface of the plurality of epitaxial layers;
- (b) forming at least one drain contact on the first surface;
- (c) forming at least one gate contact on the first surface;
- (d) forming at least one insulating layer over and between the gate contacts, source contacts and the drain contacts;
- (e) forming a conductive layer over at least a part of the at least one insulating layer for connecting the source contacts; and
- (f) forming at least one heat sink layer over the conductive layer.

**Figure 11**

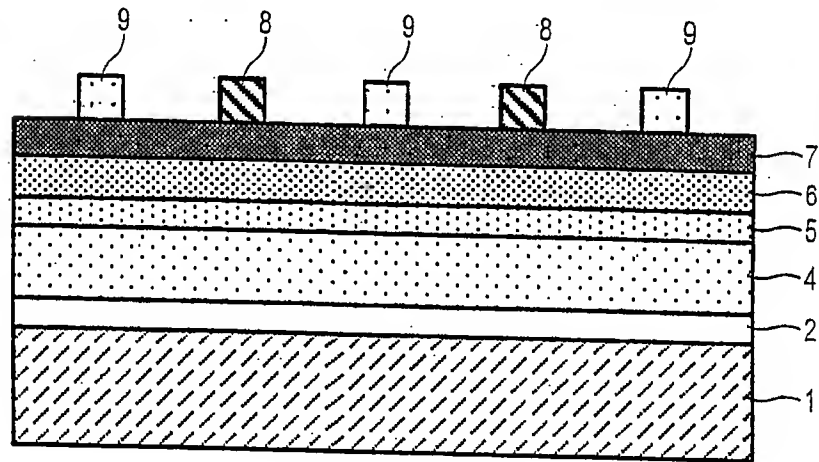


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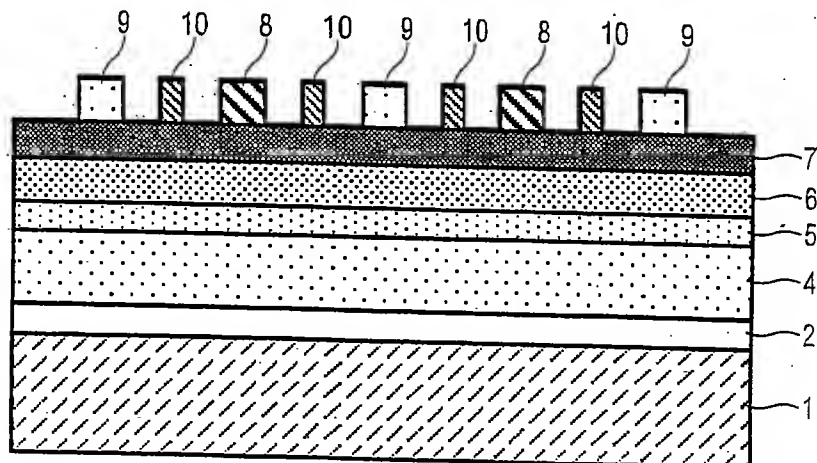
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**FIG. 1**



**FIG. 2**



**FIG. 3**



\*G00002\*

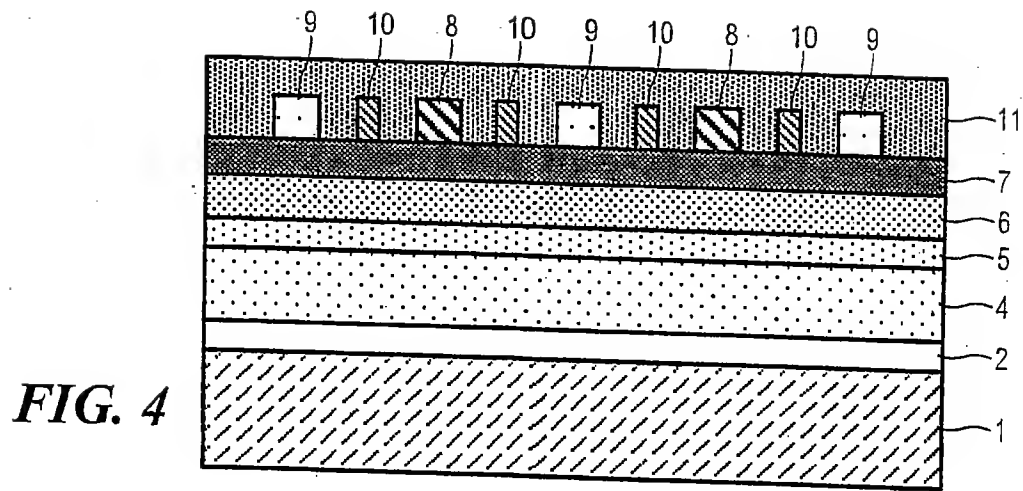


FIG. 4

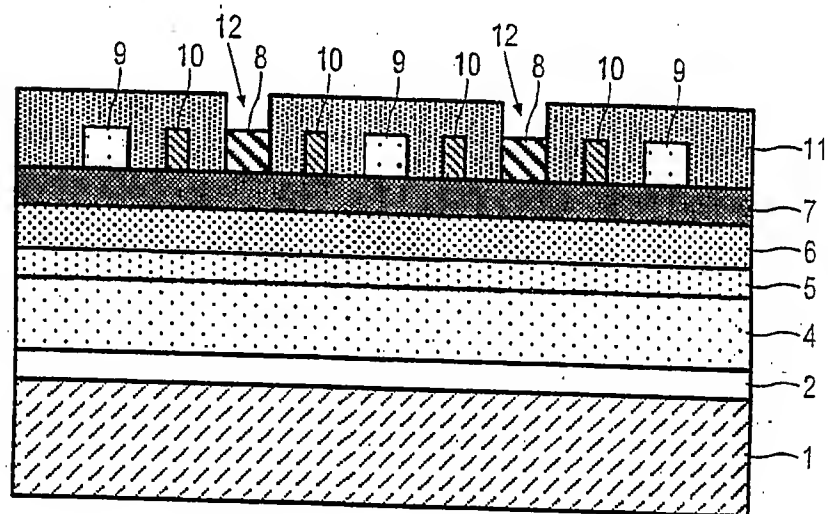


FIG. 5

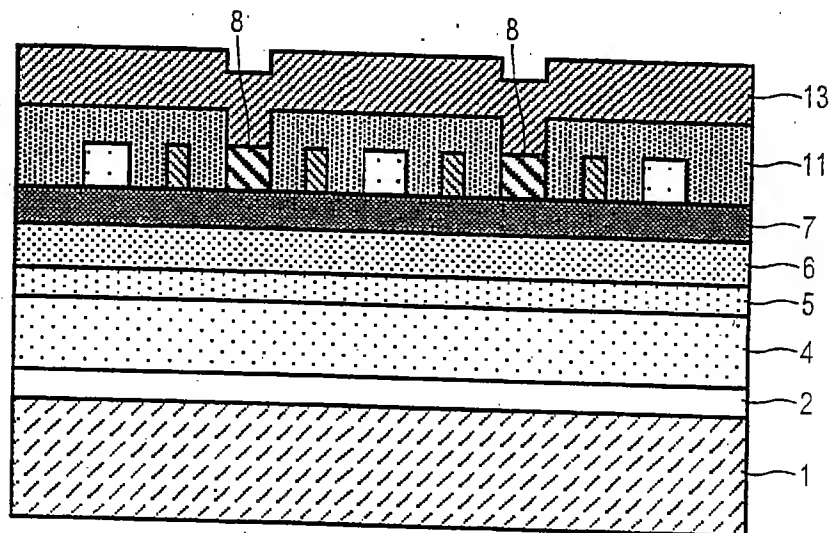
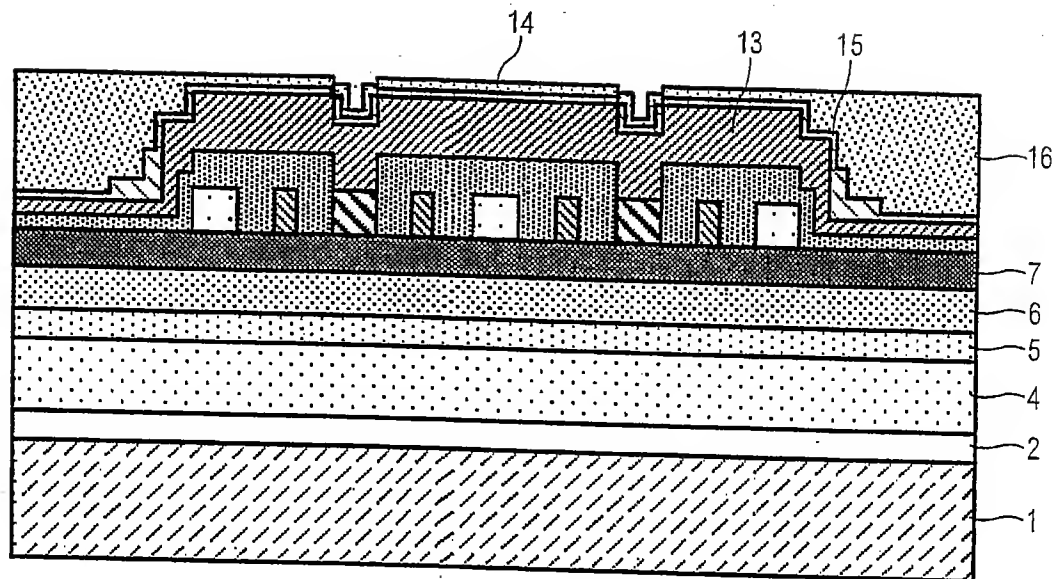
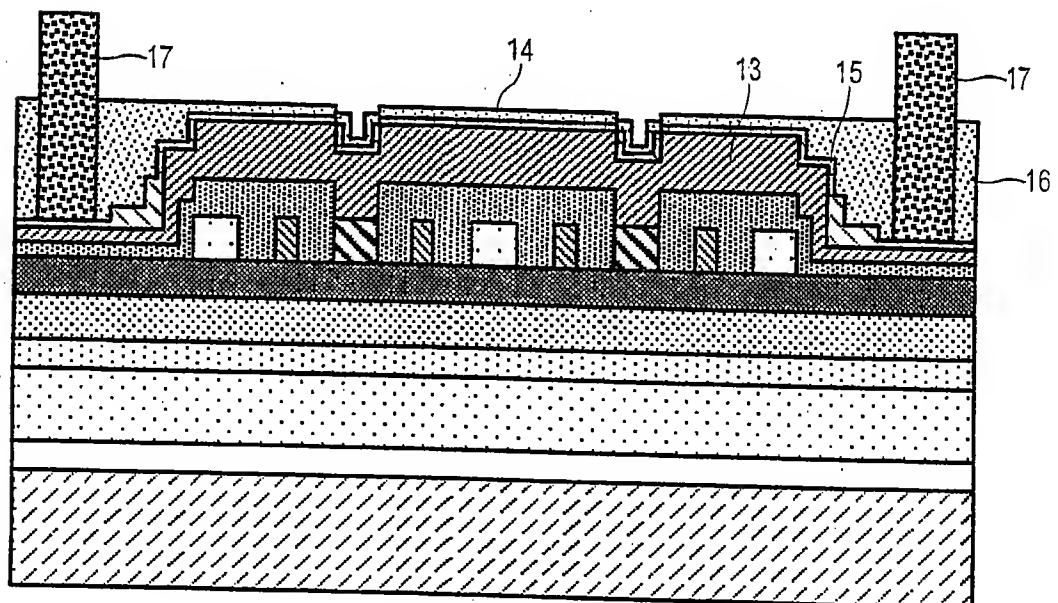


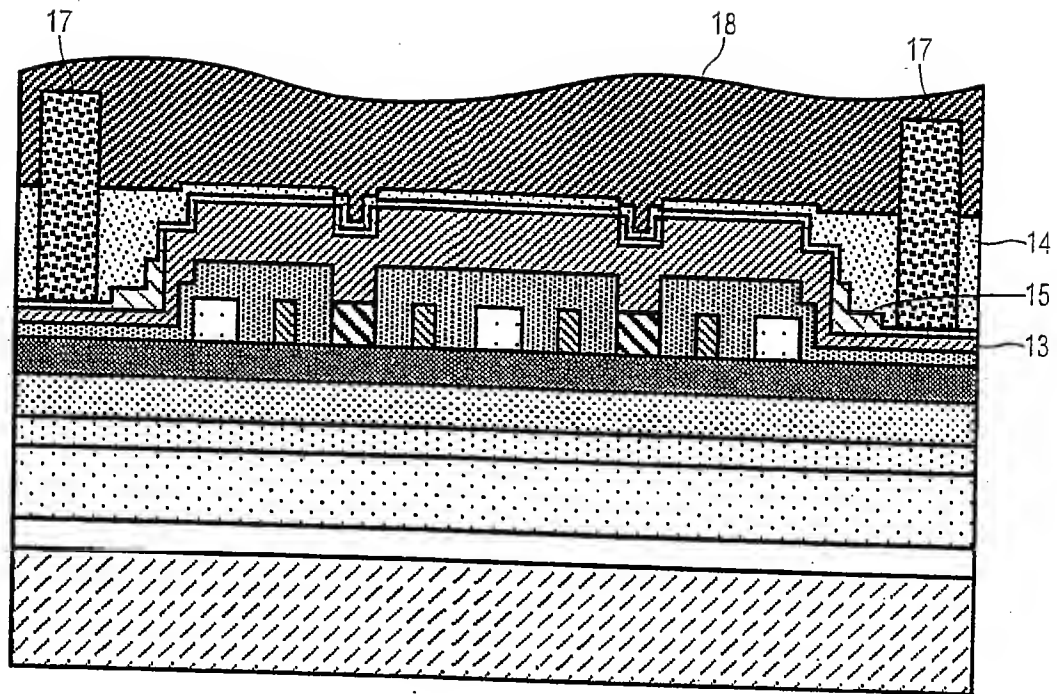
FIG. 6



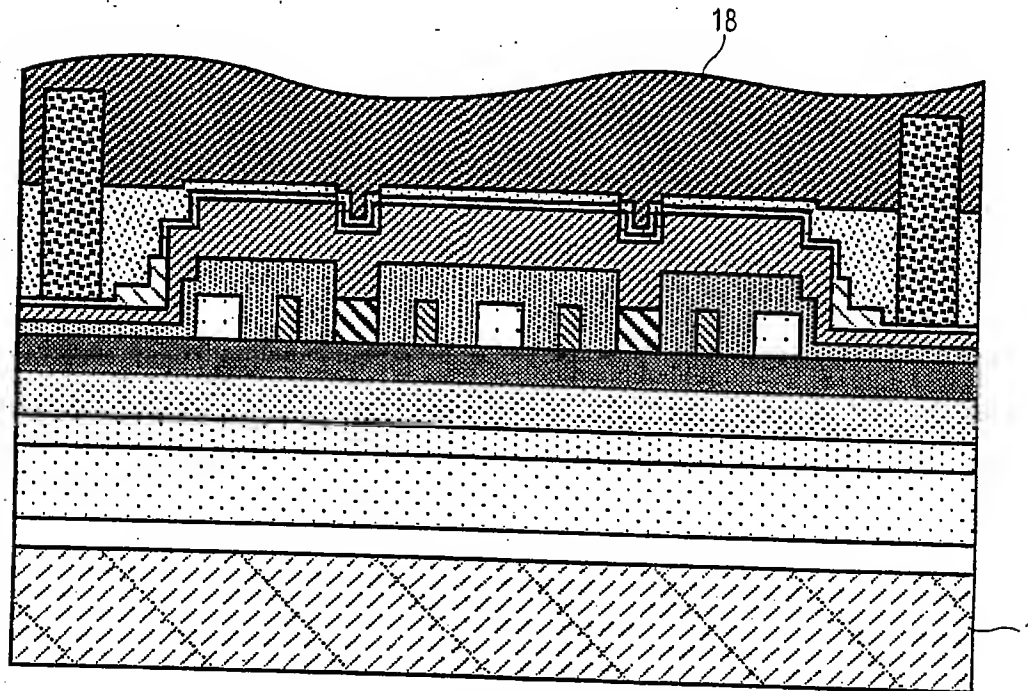
**FIG. 7**



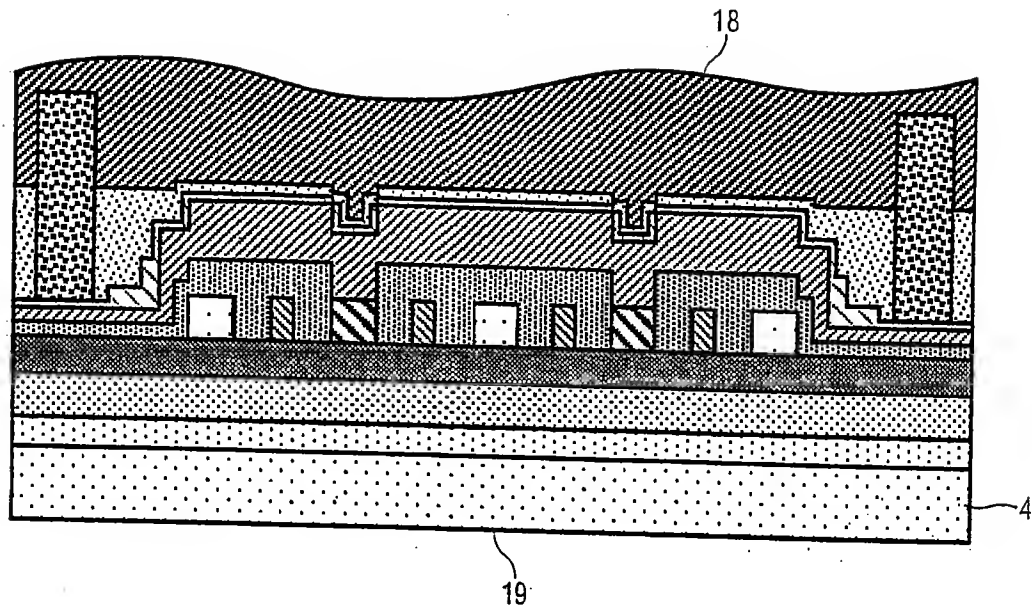
**FIG. 8**



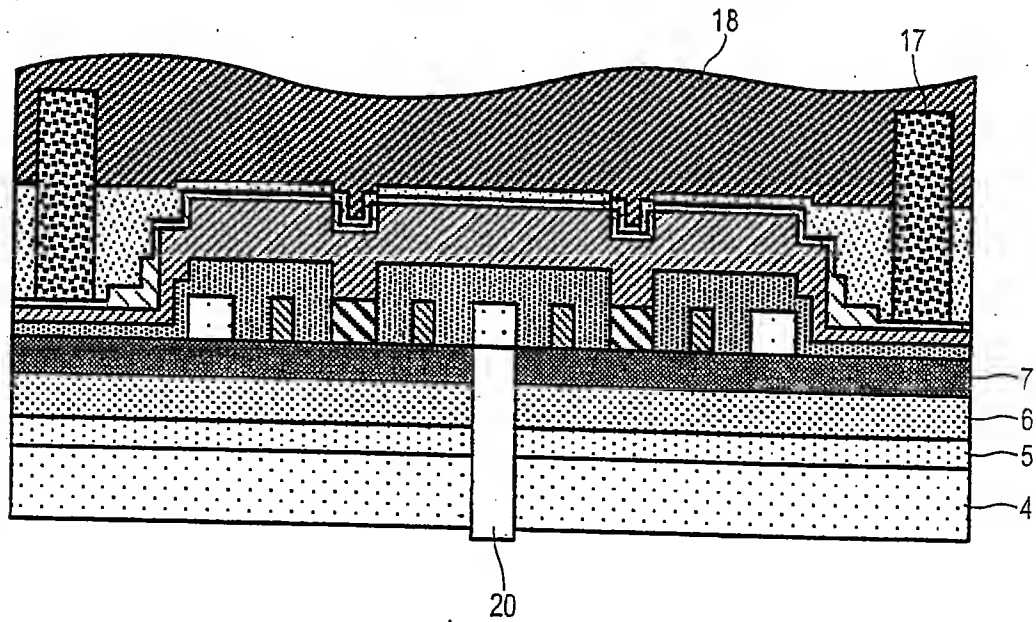
**FIG. 9**



**FIG. 10**

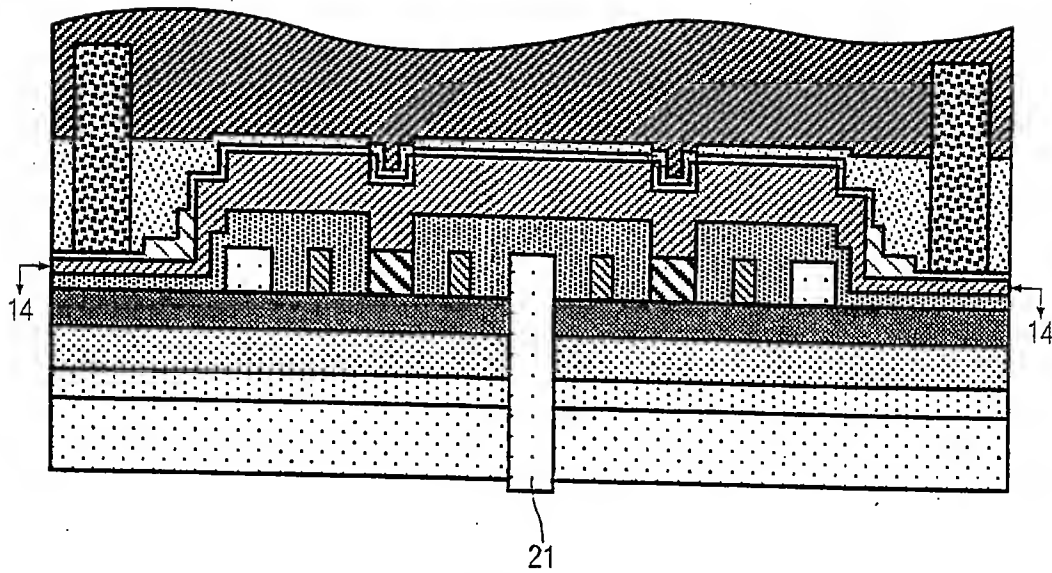


**FIG. 11**

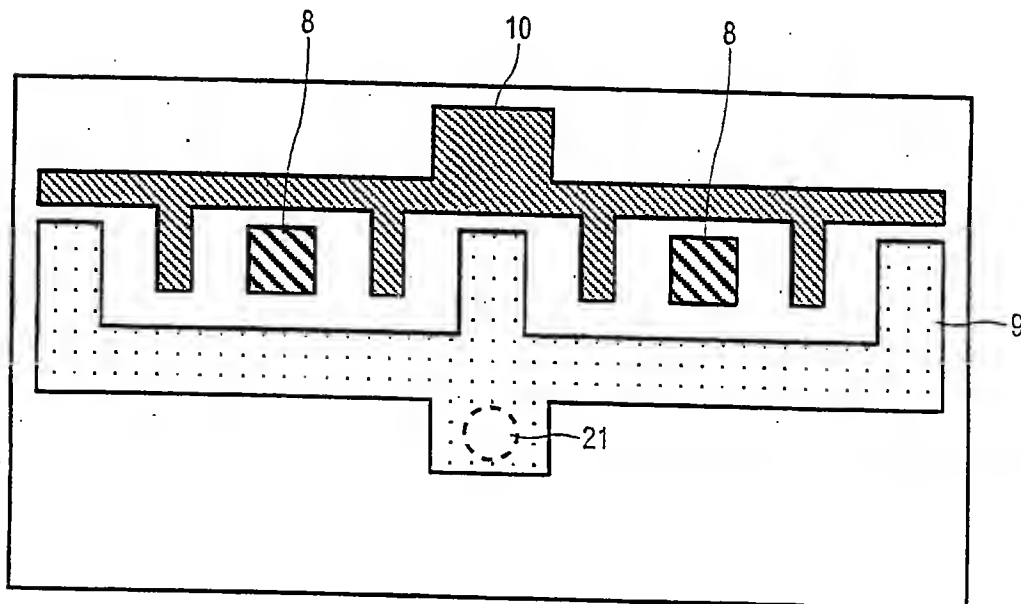


**FIG. 12**

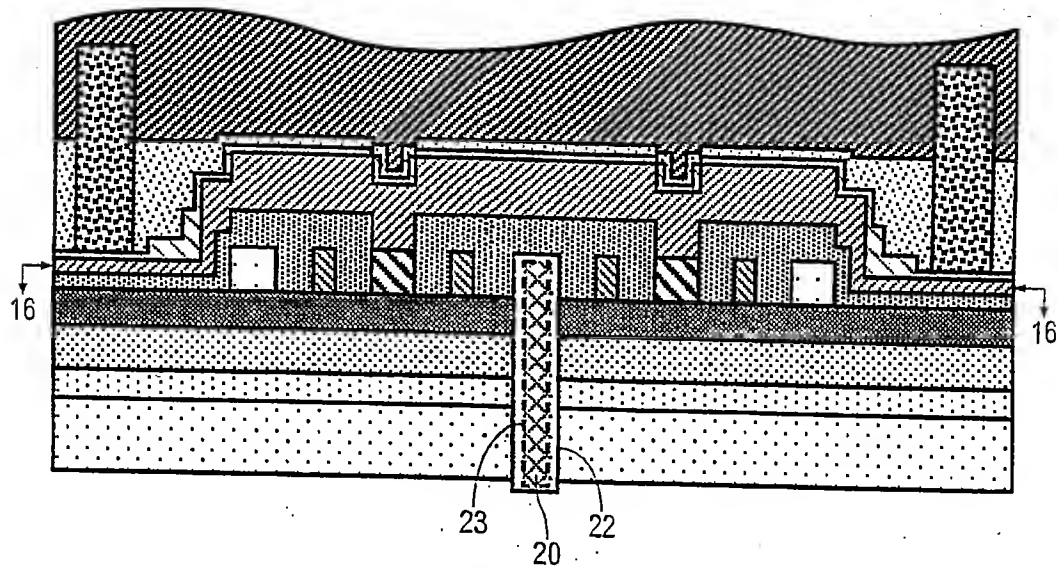




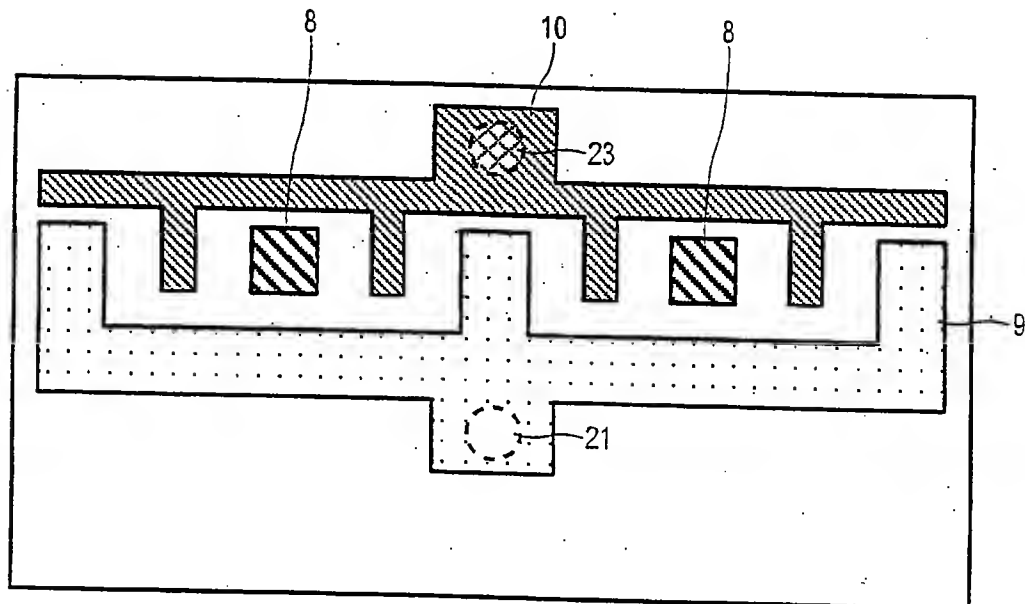
**FIG. 13**



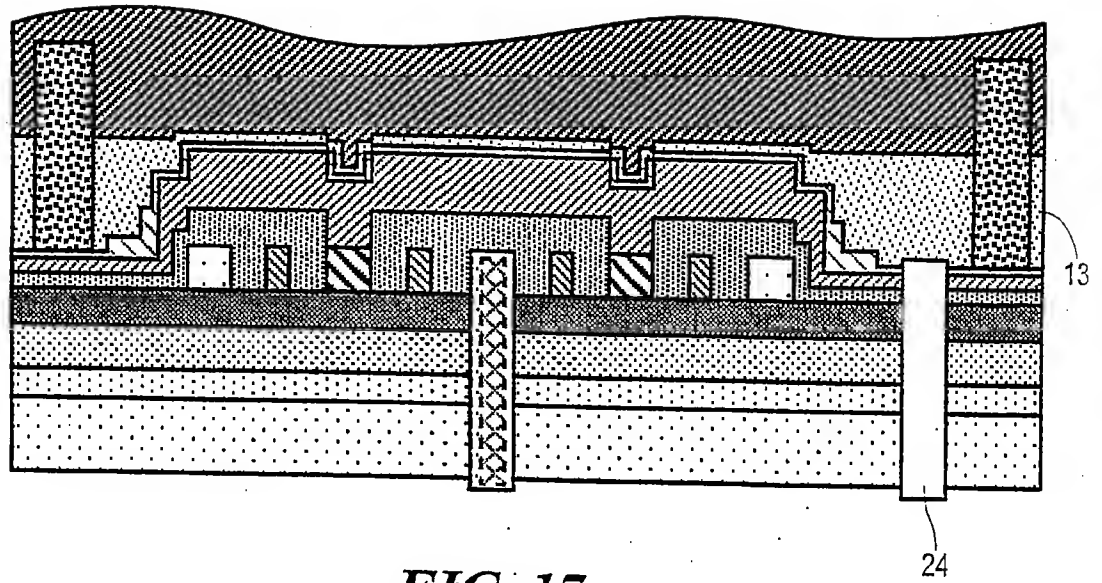
**FIG. 14**



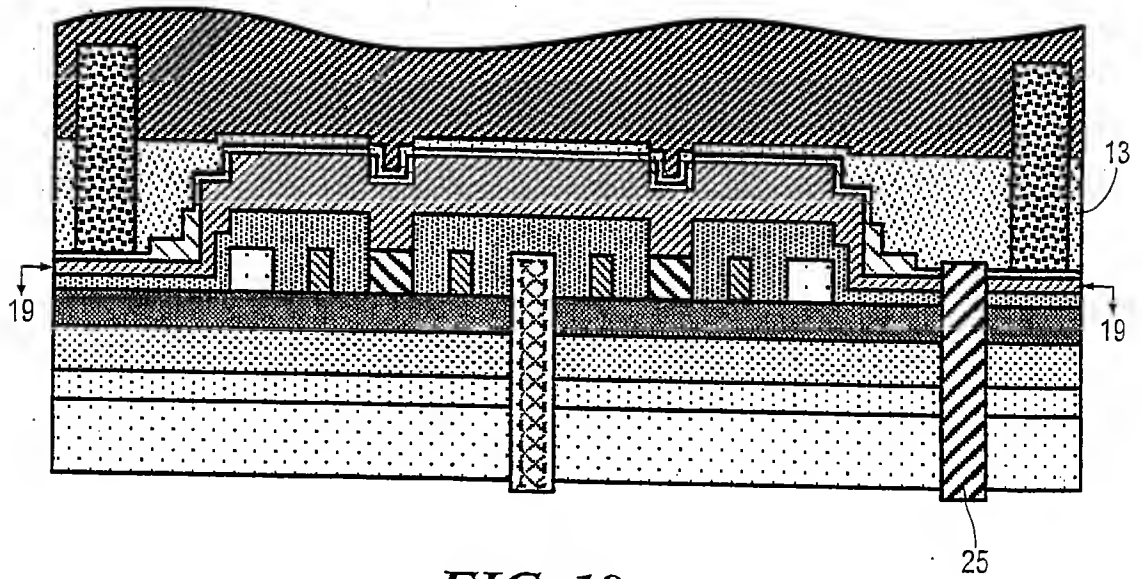
**FIG. 15**



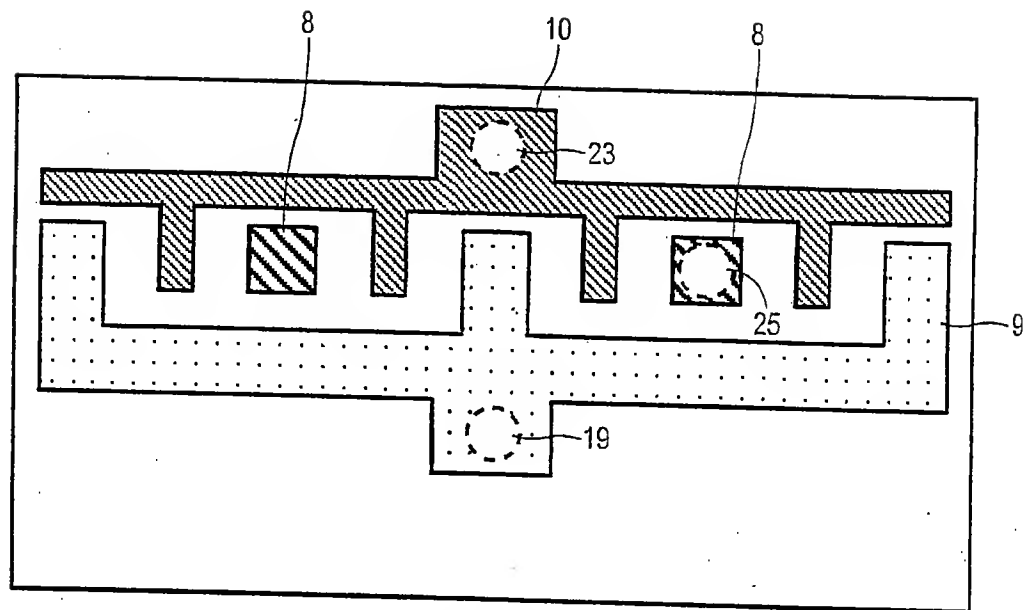
**FIG. 16**



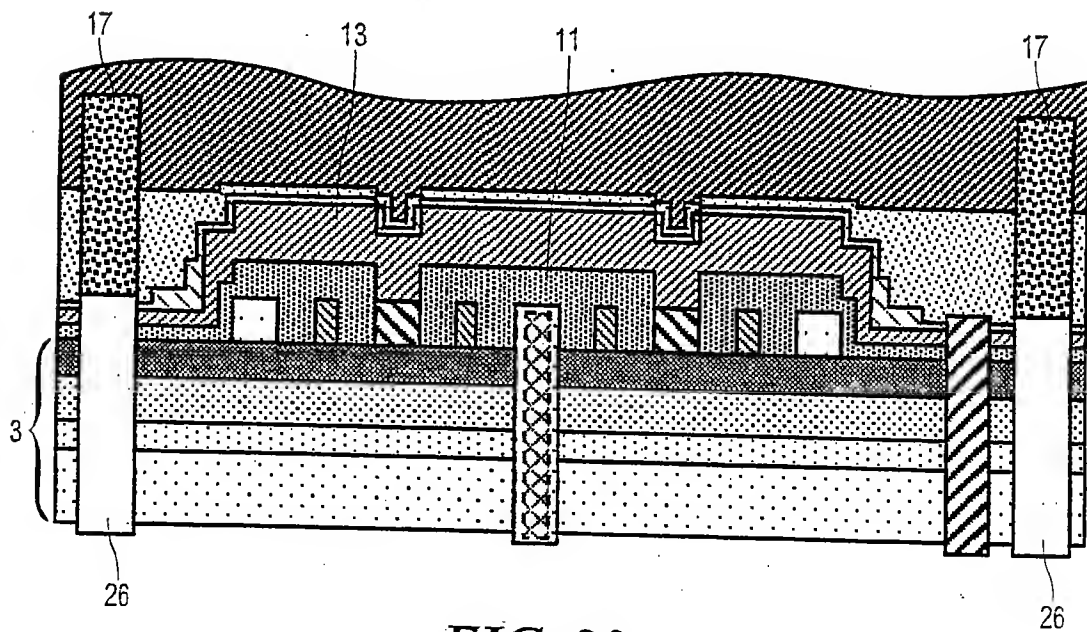
*FIG. 17*



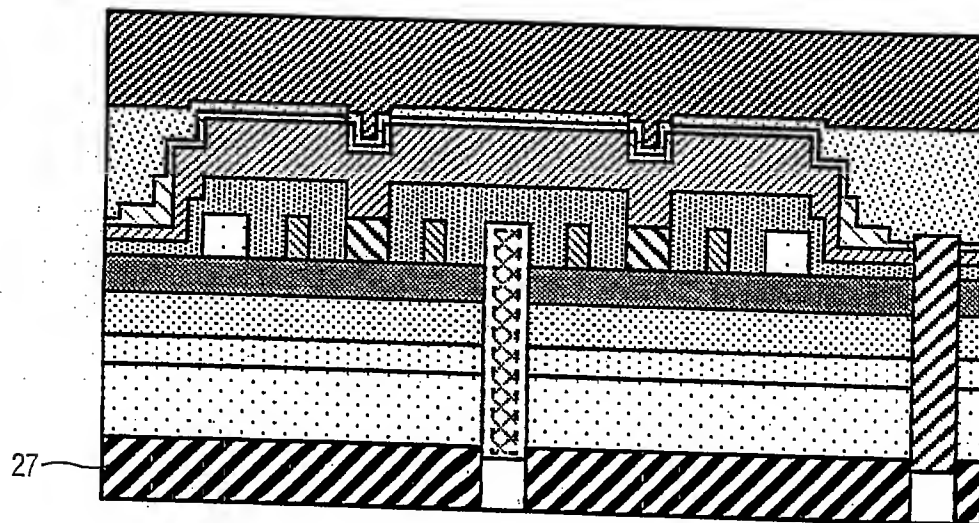
*FIG. 18*



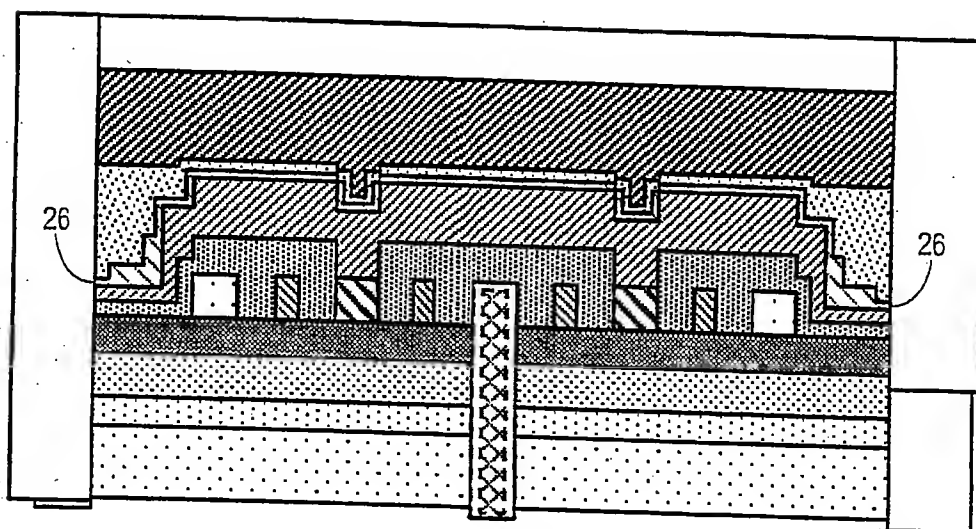
**FIG. 19**



**FIG. 20**



**FIG. 21**



**FIG. 22**